

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: William Kaelin, Jr.

Application No.: 09/269,321 Group No.: 1636

Filed: September 13, 1999 Examiner: DUNSTON, Jennifer Ann

For: METHOD OF TARGETING MALIGNANT CELLS USING AN E2F RESPONSIVE PROMOTER

MAIL STOP AMENDMENT Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a) and 1.10)

I hereby certify that this correspondence:

- 1. Certificate of Mailing (1 pg.);
- 2. Transmittal Form (1 pg.);
- 3. Petition for Extension of Time in duplicate (2 pp.);
- 4. Fee Transmittal (1 pg.);
- 5. Amendment with Exhibit 1 (21 pp.);
- 6. Transmittal for Drawings (1 pg.);
- 7. 4 Sheets of Replacement Drawings (4 pp.); and
- 8. Return Receipt Postcard.

is on the date shown below being:

MAILING

X deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Mail Stop Amendment
 Commissioner for Patents
 P. O. Box 1450,
 Alexandria VA 22313-1450

Date: December 14, 2004

FACSIMILE

transmitted by facsimile to the Patent and Trademark Office.

Signature

Debra Kellom

(type or print name of person certifying)

PTO/SB/21 (09-04) DEC 1 6 2004 & Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Inder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/269,321 Filing Date **TRANSMITTAL** September 13, 1999 First Named Inventor **FORM** William Kaelin, Jr. Art Unit 1636 **Examiner Name** DUNSTON, Jennifer Ann (to be used for all correspondence after initial filing) Attorney Docket Number 700157-46793-RCE Total Number of Pages in This Submission

		. agos	THIS COOTHISSION						
ENCLOSURES (Check all that apply)									
X	Fee Trans	smittal Fo	rm	X	Drawing(s)			After A	Ilowance Communication to TC
	☐ Fe	ee Attach	e Attached		Licensing-related Papers				Communication to Board eals and Interferences
X	Amendment/Reply After Final Affidavits/declaration(s)		Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD Remarks The Commissioner is hereby authorized to overpayment to the Nixon Peabody LLP D						
	-		SIGNA	TURE	OF APPLICANT, ATT	ORNEY, C	R AG	ENT	
Nixon Peabody LLP, 100 Summer Street, Boston, MA 02110									
Signature Moneld of English									
Printed name Ronald I. Eisenstein/Nicole L.M. Valtz									
Date 12/14/6			Reg. No.			30,628/47,150			
	CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with								
sufficie	sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:								
Signat			Debra	-K	ellou				
Typed or printed name Debra Kello				m	n			Date	12/14/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

1411	Effective on 12/08/2004.	ı
Fees pursuant to the	Consolidated Appropriations Act, 2005 (H.R. 4818).	t
	TDANCAMITTAL	L

DEC 1 6 2004

IKANSMIIIAL For FY 2005

X Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known					
Application Number	09/269,321				
Filing Date	September 13, 1999				
First Named Inventor	William Kaelin, Jr.				
Examiner Name	DUNSTON, Jennifer Ann				
Art Unit	1636				
Attorney Docket No.	700157-46793-RCE	_			

METHOD OF PAYMENT (check all that apply)										
Check Credit Card Money Order None Other (please identify): X Deposit Account Deposit Account Number: 50-0850 Deposit Account Name: Nixon Peabody LLP										
X Deposit Account Deposit Account Number: 50-0850 Deposit Account Name: Nixon Peabody LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)										
	•	·	WI IS HEIGH	· —	•			_		
	X Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee									
X Charge any a under 37 CFF		(s) or underpayme	ents of fee(s	X Cred	it any overpay	ments				
WARNING: Information on this information and authorization	form may be	ecome public. Cred	it card Infor	nation should r	not be included	on this form. Pr	ovide credit card			
FEE CALCULATION	OII F1 O-2030	•								
	OLL AND	EVAMINATION	FEEC	-		· · · · · · · · · · · · · · · · · · ·				
BASIC FILING, SEARCH, AND EXAMINATION FEES FILING FEES SEARCH FEES EXAMINATION FEES										
Application Type	Fee (\$)	Small Entity		Small Entity	Fee (\$)	mall Entity	Fees Pald	(5)		
Utility	300	<u>Fee (\$)</u> 150	500	Fee (\$) 250	200	Fee (\$) 100	10001,010	757		
Design	200	100	100	50	130	65				
Plant	200		300		160					
		100		150		80				
Reissue	300	150	500	250	600	300				
Provisional 2. EXCESS CLAIM FEE	200	100	0	0	0	0				
Fee Description	:5							nall Entity Fee (\$)		
	Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent 50 25									
	Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100									
Multiple dependent claims 360 180 Total Claims Extra Claims Fee (\$) Fee Paid (\$) Multiple Dependent Claims										
Total Claims - 20 or HP =	Extra Claim	s <u>Fee (\$)</u>	Fee Pa	10 (9)	Fee (\$)		<u>ns</u> aid (\$)			
HP = highest number of total	claims paid for	_ ''			<u>. 55 (\$1</u>	<u></u>				
Indep. Claims - 3 or HP =	Extra Claim		Fee Pa	<u>id (\$)</u>						
HP = highest number of indep	endent claims	x paid for, if greater the	an 3							
3. APPLICATION SIZE FEE										
If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity)										
for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)										
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) = 100 = /50 = (round up to a whole number) x 125 = 0.00										
4. OTHER FEE(S)										
Non-English Specification, \$130 fee (no small entity discount)										
Other: 2 month exte		•						225.00		

SUBMITTED BY							
Signature	Rosell	& Engl	1	Registration No. (Attorney/Agent)	30,628/47,150	Telephone	617-345-6054
Name (Print/Type)	Ronald I. Eisen	stein/Nico	le L.M. Valtz		. <u> </u>	Date De	ecember 14, 2004

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.